



## Transparency notification BlackRock, Inc.

- **Notification referring to situation on 2 October 2019**
- **Threshold crossed: 5%**
- **Latest holding: 5.18%**

Brussels (Belgium), 8 October 2019 – 20:00 (CEST) – regulated information

### 1. Summary of the notification

Pursuant to Article 14 of the law of 2 May 2007 on the disclosure of large shareholdings, UCB SA/NV announces that it has received a transparency notification from BlackRock, Inc. (having its registered office at 55 East 52nd Street, New York, NY, 10055, U.S.A.), dated 3 October 2019.

BlackRock, Inc., has notified that, following a disposal of UCB shares with voting rights by its affiliates, its holding of UCB shares with voting rights has decreased and has crossed downwards the 5% threshold on 2 October 2019.

On 2 October 2019, BlackRock, Inc. (taking into account the holding of its affiliates) Inc. owned 9 604 695 UCB shares with voting rights (versus 9 887 511 shares in its previous notification), representing 4.94% of the total number of shares issued by the company (194 505 658) (versus 5.08% in its previous notification), as well as 465 605 equivalent financial instruments (versus 467 613 in its previous notification) representing 0.24% of the total number of shares issued by the company (same % as in its previous notification).

### 2. Content of the notification

- **Reason for the notification:** Acquisition or disposal of voting securities or voting rights.
- **Notification by:** A parent undertaking or a controlling person.
- **Persons subject to the notification requirement:**

| Name  | Address (for legal entities)   |
|---|--|
| BlackRock, Inc.   | 55 East 52nd Street, New York, NY, 10055, U.S.A.                                     |
| BlackRock (Netherlands) B.V.                                | Rembrandt Tower, 17th floor, Amstelplein, Amsterdam, Netherlands                     |
| BlackRock (Singapore) Limited                               | 20 Anson Road #18-01, Singapore, 79912, Singapore                                    |
| BlackRock Advisors (UK) Limited                             | 12 Throgmorton Avenue, London, EC2N 2DL, U.K.  |
| BlackRock Advisors, LLC                                     | 100 Bellevue Parkway, Wilmington, DE, 19809, U.S.A.                                  |
| BlackRock Asset Management Canada Limited                   | 161 Bay Street, Suite 2500, Toronto, Ontario, M5J 2S1, Canada                        |
| BlackRock Asset Management Deutschland AG                   | Lenbachplatz 1 1st Floor, Munich, 80333-MN3, Germany                                 |
| BlackRock Asset Management North Asia Limited               | 15/F, 16/F, 17/F Citibank Tower & 17/F ICBC Tower, 3 Garden Road, Central, Hong Kong |
| BlackRock Financial Management, Inc.                        | 55 East 52nd Street, New York, NY, 10055, U.S.A.                                     |
| BlackRock Fund Advisors                                     | 400 Howard Street, San Francisco, CA, 94105, U.S.A.                                  |
| BlackRock Institutional Trust Company, National Association | 400 Howard Street, San Francisco, CA, 94105, U.S.A.                                  |
| BlackRock International Limited                             | Exchange Place One, 1 Seple Street, Edinburgh, EH3 8BL, U.K.                         |
| BlackRock Investment Management (Australia) Limited         | Level 26, 101 Collins Street, Melbourne, VIC 3000, Australia                         |
| BlackRock Investment Management (UK) Limited                | 12 Throgmorton Avenue, London, EC2N 2DL, U.K.  |
| BlackRock Investment Management, LLC                        | 1 University Square Drive, Princeton, NJ, 8540, U.S.A.                               |
| BlackRock Japan Co., Ltd.                                   | 1-8-3 Marunouchi Chiyoda-ku, Trust Tower Main, Tokyo, 100-8217, Japan                |

- **Date on which the threshold is crossed:** 2 October 2019.
- **Threshold crossed (in %):** 5%
- **Denominator:** 194 505 658.
- **Notified details:**

| A) Voting rights  | Previous notification | After the transaction |                              |                      |                              |
|---|-----------------------|-----------------------|------------------------------|----------------------|------------------------------|
|   | # of voting rights    | # of voting rights    |                              | % of voting rights   |                              |
| Holder of voting rights                                     |                       | Linked to securities  | Not linked to the securities | Linked to securities | Not linked to the securities |
| BlackRock, Inc.   | 0                     | 0                     |                              | 0.00%                |                              |
| BlackRock (Netherlands) B.V.                                | 17,319                | 17,319                |                              | 0.01%                |                              |
| BlackRock (Singapore) Limited                               | 8,748                 | 9,230                 |                              | 0.00%                |                              |
| BlackRock Advisors (UK) Limited                             | 1,657,041             | 1,433,744             |                              | 0.74%                |                              |
| BlackRock Advisors, LLC                                     | 170,817               | 174,565               |                              | 0.09%                |                              |
| BlackRock Asset Management Canada Limited                   | 189,787               | 194,296               |                              | 0.10%                |                              |
| BlackRock Asset Management Deutschland AG                   | 194,093               | 191,154               |                              | 0.10%                |                              |
| BlackRock Asset Management North Asia Limited               | 2,543                 | 997                   |                              | 0.00%                |                              |
| BlackRock Financial Management, Inc.                        | 290,921               | 300,590               |                              | 0.15%                |                              |
| BlackRock Fund Advisors                                     | 2,581,363             | 2,565,837             |                              | 1.32%                |                              |
| BlackRock Institutional Trust Company, National Association | 3,215,104             | 3,229,009             |                              | 1.66%                |                              |
| BlackRock International Limited                             | 96,476                | 102,057               |                              | 0.05%                |                              |
| BlackRock Investment Management (Australia) Limited         | 120,954               | 130,589               |                              | 0.07%                |                              |
| BlackRock Investment Management (UK) Limited                | 454,590               | 481,826               |                              | 0.25%                |                              |
| BlackRock Investment Management, LLC                        | 468,419               | 458,822               |                              | 0.24%                |                              |
| BlackRock Japan Co., Ltd.                                   | 419,336               | 314,660               |                              | 0.16%                |                              |
| Subtotal  | 9,887,511             | 9,604,695             |                              | 4.94%                |                              |
|   | <b>TOTAL</b>          | <b>9,604,695</b>      | <b>0</b>                     | <b>4.94%</b>         | <b>0.00%</b>                 |

| B) Equivalent financial instruments                         | After the transaction        |                 |                         |  |                    |            |
|---|------------------------------|-----------------|-------------------------|--|--------------------|------------|
| Holders of equivalent financial instruments                 | Type of financial instrument | Expiration date | Exercise period or date | # of voting rights that may be acquired if the instrument is exercised | % of voting rights | Settlement |
| BlackRock Advisors (UK) Limited                             | Securities Lent              |                 |                         | 27,248   | 0.01%              | physical   |
| BlackRock Investment Management (UK) Limited                | Securities Lent              |                 |                         | 21,466   | 0.01%              | physical   |
| BlackRock Investment Management, LLC                        | Securities Lent              |                 |                         | 8,574  | 0.00%              | physical   |
| BlackRock Japan Co., Ltd.                                   | Securities Lent              |                 |                         | 470  | 0.00%              | physical   |
| BlackRock Advisors (UK) Limited                             | Contract for Difference      |                 |                         | 677  | 0.00%              | cash       |
| BlackRock Advisors, LLC                                     | Contract for Difference      |                 |                         | 88,962   | 0.05%              | cash       |
| BlackRock Institutional Trust Company, National Association | Contract for Difference      |                 |                         | 238,462  | 0.12%              | cash       |
| BlackRock Investment Management (UK) Limited                | Contract for Difference      |                 |                         | 57,597   | 0.03%              | cash       |
| BlackRock Investment Management, LLC                        | Contract for Difference      |                 |                         | 22,125   | 0.01%              | cash       |
| BlackRock Investment Management, LLC                        | Depository Receipt           |                 |                         | 24   | 0.00%              |            |
| <b>TOTAL</b>  |                              |                 |                         | <b>465,605</b>   | <b>0.24%</b>       |            |

| TOTAL (A & B)    | # of voting rights | % of voting rights |
|------------------|--------------------|--------------------|
| <b>CALCULATE</b> | <b>10,070,300</b>  | <b>5.18%</b>       |

- **Chain of controlled undertakings through which the holding is effectively held:**

Please see the full chain of control in the 'Chain of Control' tab.

- **Additional information:**

The disclosure obligation arose due to voting rights attached to shares for BlackRock, Inc. going below 5%.

### 3. Further information

This press release and the detailed transparency notification are available on UCB SA/NV's website via the following [link](#).

An updated overview of the UCB SA/NV large shareholdings will be available on UCB SA/NV's website via the following [link](#).

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#### About UCB

UCB, Brussels, Belgium ([www.ucb.com](http://www.ucb.com)) is a global biopharmaceutical company focused on the discovery and development of innovative medicines and solutions to transform the lives of people living with severe diseases of the immune system or of the central nervous system. With 7 500 people in approximately 40 countries, the company generated revenue of € 4.6 billion in 2018. UCB is listed on Euronext Brussels (symbol: UCB). Follow us on Twitter: @UCB\_news